

Discrepancy Code	Description	Recovery Action	Post Audit Documentation
ATHRC (Authorized Refill Count)	The correct total authorized refill count was not entered on the claim.	Non-Monetary	No post audit documentation accepted.
CPD (Compound incorrectly billed)	A compounded prescription may be incorrectly billed resulting in an overpayment.	Partial Recovery	Prescriber's Statement* or Original Medical Records
CPDI (Billed without compound indicator)	A non-compound claim is billed but the prescriber issued a prescription for a compounded medication. Pharmacy entered a compound code of 1 instead of 2 resulting in an incorrect reimbursement	Full Recovery	The pharmacy may appeal with additional supporting documentation that drug was not compounded
DAW 0 (Dispense as written0)	The pharmacy dispensed a generic medication with an unnecessary DAW code. This brand annotation is not represented on the hardcopy.	Non-Monetary	No post audit documentation accepted.
DAW 1 (Dispense as written1)	The pharmacy billed a multi-source brand name medication with a DAW code of 1 when the prescription order did not indicate the prescriber required brand	Partial-50% of the ingredient cost	No post audit documentation accepted.
DAW 2 (Dispense as written2)	The pharmacy billed a multi-source brand name medication with a DAW code of 2 when the documentation did not indicate the patient requested brand.	Partial-50% of the ingredient cos	No post audit documentation accepted.
DAW 3 (Dispense as written3)	The pharmacy billed a multi-source brand name medication with a DAW code of 3 when the prescription order did	Partial-50% of the ingredient cost	No post audit documentation accepted.

	not state both brand and generic medications names and the prescriber did not indicate a brand/generic fill preference		
DAW 4 (Dispense as written-4)	The pharmacy billed a multi-source brand name medication with a DAW code of 4 when the pharmacy did not provide inventory documentation showing generic stock shortage.	Partial-50% of the ingredient cost	Pharmacy Inventory Documentation of Generic Shortage During Time of Fill.
DAW 5 (Dispense as written5)	The pharmacy billed a multi-source brand name medication with a DAW code of 5 when the pharmacy did not provide justification for using the brand product as the generic entity.	Partial-50% of the ingredient cost	Documentation of Justification that the Brand was Utilized as the Generic Entity.
DAW 6 (Dispense as written6)	The pharmacy billed a multi-source brand name medication with a DAW code of 6 when the pharmacy did not provide justification for the override.	Full Recovery	No post audit documentation accepted.
DAW 7 (Dispense as written7)	The pharmacy billed a multi-source brand name medication with a DAW code of 7 when the pharmacy did not provide the referenced law for justifying the mandated brand product utilization.	Partial-50% of the ingredient cost	Federal or State Statute justifying manner of fill.
DAW 8 (Dispense as written8)	Pharmacy billed a multi-source brand name medication with a DAW code of 8 and did not provide documentation supporting that the generic was unavailable from the	Partial-50% of the ingredient cost	Documentation from manufacturer showing unavailability.

	manufacturer/wholesaler at the time of dispensing.		
DAW 9 (Dispense as written9)	Pharmacy billed a multi-source brand name medication with a DAW code of 9 and auditor could not confirm the plan's formulary setup requiring the brand to be dispensed.	Full Recovery	No post audit documentation accepted.
DDB (Different drug billed)	Pharmacy billed for a different medication than the one ordered by the prescriber with no documentation on Rx or patient profile.	Full Recovery	No post audit documentation accepted unless the medication change is therapeutically equivalent and a Prescriber Statement* is obtained.
DDBG (Different drug billed-generic)	Pharmacy billed for a generic medication when the prescriber ordered and authorized a brand medication to be filled.	Full Recovery	No post audit documentation accepted unless the medication change is related to a formulary setup limitation where a Prescriber Statement* can be obtained covering the medication that was dispensed instead.
DEA (No DEA)	The prescription for a controlled substance does not bear the prescriber's DEA number.	Full Recovery	No post audit documentation accepted.
DID (Wrong prescriber)	The claim submitted contains an ID number; however, it is not the ID number of the physician who authorized the prescription. Pharmacy submits an ID or physician identifier different from the number printed on the prescription blank.	Full Recovery	Prescriber Statement* from a nonsanctioned, Prescriber which shows the Prescriber billed is a member of the same practice as the correct Prescriber or proof that a reasonable effort was made to bill the correct prescriber ID. Narcotics and controlled substances, no post audit documentation is accepted.
WPB (Wrong Patient Billed)	The patient identified on a hardcopy prescription is not the patient	Full Recovery	No post audit documentation accepted.

	identified on the paid claim.		
DUP (Duplicate Claim)	Multiple claims for the same prescription fill were paid.	Full Recovery	Pharmacy may provide supporting documentation the claim was reversed in system.
EQBMD (Exceeds qty Rx limits/Overfilled)	Quantity billed exceeds the quantity authorized by the prescriber.	Partial Recovery	No post audit documentation accepted.
EQBP (Exceeds qty plan limits/Overfilled)	Quantity billed exceeds the quantity allowed by the benefit plan.	Partial Recovery	No post audit documentation accepted.
EXP (Exceeds time limit)	The prescription is filled or refilled for a time period longer than that allowed by the plan or law.	Full Recovery	No post audit documentation accepted.
FBW (Filled before Written)	Rx was filled prior to the written date	Full Recovery	Prescriber Statement* which validates the prescriber indicated the incorrect date
FORM (Form of Drug)	Pharmacy billed a non-bio-equivalent alternative to what the prescriber ordered.	Full Recovery	Prescriber Statement* which authorizes the alternate medication.
NRR (No Response Respond)	Pharmacy failed to respond to the audit request.	Full Recovery	Pharmacy may provide original required documents with appeal, no verbal orders.
FWA (Validation Request)	The package size submitted on the claim differs from the package size dispensed by the pharmacy.	Full Recovery	No post audit documentation accepted.
SPS (Smaller Pack Size Billed)	Pharmacy bills multiples of the small size NDC when a larger stock size is available resulting in excess margins.	Partial Recovery if excess margins	Documentation from wholesaler which shows supply issues occurred at time of fill with the appropriate NDC selection.
IDS (Wrong or Incorrect Day Supply)	The days' supply value submitted by the pharmacy is not consistent with the quantity and directions.	Non-Monetary Recovery or Partial Recovery if Copay Tier Difference	No post audit documentation accepted.
INV (No date of service)	The hardcopy prescription contains no written date.	Full Recovery	Prescriber Statement*

INVP (No doctor name)	The hardcopy prescription does not identify the prescriber by signature	Full Recovery	Prescriber Statement*
INVD (No drug name)	The hardcopy prescription does not contain the name of the drug to be dispensed.	Full Recovery	Prescriber Statement*
INVN (No patient name)	The hardcopy prescription does not identify the patient by name.	Full Recovery	Prescriber Statement*
INVS (No drug strength)	The hardcopy prescription, for a drug available in more than one strength, fails to identify the strength to be dispensed.	Full Recovery	Prescriber Statement*
INVSL (Invalid Signature Log)	Signature log is missing required element(s): Rx number, date of service, signature, date of delivery	Full Recovery	Member Statement**
IOC-1 (Incorrect Origin Code)	The origin code submitted for the claim indicates a written hard-copy prescription, pharmacy submits a telephone order for the requested audit.	Full Recovery	Prescriber Statement*
IOC-C (Incorrect Origin Code-Clerical)	The origin code billed by the pharmacy does not match the prescription documentation	Non-Monetary Recovery	No post audit documentation accepted.
IWD (Incorrect Written Date)	Prescription order date/written date on the claim doesn't match the date on the prescription hard copy.	Non-Monetary Recovery	None
LAW (Law Violation)	Potential State or Federal Law Violation	Full Recovery	Supporting Federal/State Law Documentation
MBL (Missing Back Label)	The pharmacy did not provide the requested back label	Non-Monetary Recovery	Original Back Label
MCPV (Missing Requested)	The pharmacy did not provide the requested copayment verification.	Full Recovery	Original Paid Receipt Showing Copayment was Collected

Copayment Verification)			
MCW (Missing Requested Compound Worksheet)	Compound worksheet was not provided or is missing certain elements like: compound Ingredients, lot numbers, qty, cost, and pharmacist signature are missing from compound worksheet.	Full Recovery	Original compound worksheet/records
MEO (Multiple Errors Occurred)	More than 3 discrepancies identified on hard copy.	Varies	Varies
MP (Missing prescription)	Original hardcopy prescription or physician order cannot be found on file during the audit or in the submitted documentation.	Full Recovery	Prescriber Statement*
MSLD (Drug Not dispensed)	Prescription is billed but not dispensed to patient. Drugs are not returned to stock. Partial fills not picked up and not reversed.	Full Recovery	Member Statement** or verifiable pharmacy documentation showing the claim was reversed.
MSL (Missing signature log)	The signature documenting receipt of pharmacy services cannot be found in the signature logs or delivery manifest.	Full Recovery	Member Statement** Or Original Electronic Sig Log capture containing system generated date/time stamp of member pick-up.
NCPD (Non-compound flagged as a compound)	A compound claim is billed but the prescriber issued a prescription for a non-compound medication. Pharmacy entered a compound code of 2 instead of 1 resulting in an incorrect reimbursement.	Full Recovery	No post audit documentation accepted
NORX (No Rx number)	The hardcopy prescription contains no unique prescription identifier number.	Full Recovery	Prescriber Statement*

NQY (No quantity)	The hardcopy prescription does not indicate the quantity of the drug to be dispensed.	Full Recovery	Prescriber Statement*
NSI (No directions for use)	The hardcopy prescription does not indicate directions for use or dosage.	Full Recovery	Prescriber Statement*
OTH (Misc./Other)	Miscellaneous	TBD	Prescriber Statement*/documentation supporting the manner of fill
QTY (Incorrect Quantity)	The quantity does not exceed what the prescription allows but the quantity billed exceeds the only available package size/or the quantity billed is less than the only available package size	Partial or Non-Monetary Recovery	None
RMA (Missing Required RMA#)	RMA number missing from a written Isotretinoin prescription.	Full	Original Electronic iPledge Receipt-showing RMA #
RMA-E (Missing Required RMA#-eRx)	eRx RMA #'s must be documented in pharmacy's internal notes or the iPledge receipt must be included.	Full	Original Electronic iPledge Receipt-showing RMA # or internal system notes showing RMA # was documented
RXC (Altered Prescription-Drug, qty, or refills altered)	Drug, quantity or refills altered with no documentation on the Rx.	Full Recovery	No post audit documentation accepted.
RXCQ (Cut qty)	A quantity less than that prescribed is billed and additional refills are dispensed resulting in undue dispensing fees.	Additional dispensing fee/No recovery if requested by member	Member Statement**
UAD (Use ad directed)	Prescription does not have specific directions for use that can be calculated for a daily maximum quantity.	Full Recovery	No post audit documentation accepted.
UAR (Unauthorized refill)	Prescription is refilled for more fills than prescribed.	Full Recovery	No post audit documentation accepted.

RTS (Refill to soon)	Prescription is refilled sooner than appropriate with respect to quantity and directions for use.	Full Recovery	No post audit documentation accepted.
XDEA (Missing XDEA #)** XDEA no longer required after 12/29/22**	Missing prescriber's XDEA number on prescribed Suboxone order. Prescription contains no documentation that Rx is used for off-label use, i.e. pain management.	Full Recovery	No post audit documentation accepted.

\*All physician statements must: (1) include the address and telephone number of the physician on the physician's own letterhead or prescription blanks, (2) clearly reference the patient's name, medication(s), date(s) of service, strength, directions, quantity ordered and refills (if applicable) and (3) include the physician's signature. "Call in" prescription are not acceptable during the post audit or appeal phase. \*\*All patient statements must: (1) include their address and telephone number, (2) clearly reference the medication(s), dates(s) of service, etc. and (3) include the patient's signature. \*\*\*Electronically documented refill information should be recorded in a static (i.e., information cannot be altered at a later date) electronic field.